

19.学术论文伦理规范与研究诚信策略

White Paper

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一、引言 | Introduction

【中文】 学术论文不仅是知识传播工具，也是学术诚信和科研规范的体现。近年来，学术不端、数据造假、署名争议和伦理审查不合规案例频发，对学者声誉和学术生态造成严重影响。遵循伦理规范和诚信策略是科研工作的基石，可提升论文可信度、确保研究合法性，并增强审稿人及读者对研究的信任。

【English】 Academic publications are not only vehicles for knowledge dissemination but also reflections of research integrity and ethical standards. In recent years, cases of academic misconduct, data falsification, authorship disputes, and non-compliance with ethical review have frequently surfaced, negatively affecting researcher reputation and scholarly ecosystems. Adhering to ethical standards and integrity strategies is foundational, enhancing manuscript credibility, ensuring legal compliance, and building trust among reviewers and readers.

二、学术伦理与科研诚信的核心要素 | Core Elements of Academic Ethics and Research Integrity

【中文】

数据真实性：实验数据、调查数据和分析结果必须真实可靠

透明性：研究过程、方法和数据处理应可复现

署名规范：作者署名必须符合实际贡献

引用诚信：准确引用文献，避免剽窃和过度自我引用

利益冲突披露：声明与研究相关的财务或个人利益

伦理审查遵循：涉及人体、动物或敏感数据研究需通过伦理审批

【English】

Data authenticity: experimental, survey, and analysis data must be truthful and reliable

Transparency: research processes, methods, and data handling should be reproducible

Authorship ethics: authorship should reflect actual contribution

Citation integrity: accurately cite references, avoid plagiarism and excessive self-citation

Conflict of interest disclosure: declare financial or personal interests related to research

Ethical review compliance: research involving humans, animals, or sensitive data requires approval

三、数据处理与诚信策略 | Data Management and Integrity Strategies

【中文】

数据记录规范：原始数据、分析过程应完整保存

结果呈现真实：不得篡改、选择性报告或伪造数据

重复实验与验证：确保结果可复现

统计处理透明：说明方法选择、参数设定和数据清洗过程

AI与软件辅助注意：AI生成数据分析或图表需标明方法和来源

示例：

不可仅选择显著结果发表，应报告全部实验组数据

使用统计软件时保留代码和分析日志以便复查

【English】

Standardized data recording: maintain original data and analysis process

Truthful presentation: avoid falsifying, selectively reporting, or fabricating data

Replication and verification: ensure results are reproducible

Transparent statistical analysis: document method selection, parameters, and data cleaning

AI/software use caution: indicate methodology and source if AI-generated analysis or figures are used

四、署名与贡献规范 | Authorship and Contribution Ethics

【中文】

署名条件：对研究设计、数据收集、分析、论文撰写均有实际贡献

贡献说明：可在文章中列明每位作者具体贡献

避免赠名或虚名：不得添加未参与研究的人

排序合理：按照贡献大小确定第一作者、通讯作者和其他作者顺序

示例：

第一作者负责研究设计与论文撰写，第二作者参与数据分析，通讯作者提供指导与资源支持。

【English】

Authorship criteria: authors must have contributed to study design, data collection, analysis, or manuscript drafting

Contribution statement: specify each author ' s role

Avoid honorary authorship: do not include individuals who did not participate

Reasonable order: first author, corresponding author, and other authors reflect contribution magnitude

Example:

The first author conducted study design and manuscript drafting, the second author performed data analysis, and the corresponding author provided guidance and resources.

五、引用与学术诚信 | Citation and Scholarly Integrity

【中文】

准确引用：确保引用文献内容真实反映原文

避免抄袭：文字、概念或图表未经允许不得直接使用

自我引证合理：仅引用与本研究直接相关的自己作品

文献来源透明：优先公开或同行认可的文献

【English】

Accurate citation: ensure cited references accurately reflect the source

Avoid plagiarism: do not use text, concepts, or figures without permission

Reasonable self-citation: cite one ' s own work only if directly relevant

Transparent sources: prioritize published or peer-recognized references

六、伦理审查与研究审批 | Ethical Review and Research Approval

【中文】

人体研究：需获得机构伦理委员会审批，知情同意明确

动物实验：符合动物保护法规，记录实验条件

敏感数据：如个人信息或医疗数据，需严格保密并通过审批

伦理声明：在论文方法段明确说明审批编号和机构

示例：

本研究通过XX大学伦理委员会审批（编号：2026-001），所有参与者签署知情同意书。

【English】

Human subjects: obtain Institutional Review Board approval with informed consent

Animal studies: comply with animal welfare regulations, document experimental conditions

Sensitive data: maintain confidentiality and secure approval for personal or medical data

Ethical statement: indicate approval number and institution in Methods section

Example:

This study was approved by the Institutional Review Board of XX University (Approval No. 2026-001), and all participants provided informed consent.

七、科研不端行为防范 | Preventing Academic Misconduct

常见问题及对策：

类型	中文说明	English Explanation
数据造假	编造、篡改或选择性报告结果	Falsifying, manipulating, or selectively reporting results
抄袭	未经允许使用他人文字、数据或图表	Using others' text, data, or figures without permission
重复发表	同一研究多次发表	Publishing the same study multiple times
不当署名	赠名、虚名或遗漏贡献者	Honorary authorship or omitting contributors
未经审批研究	无伦理审批进行人体/动物研究	Conducting studies without ethical approval

策略：

建立数据管理规范

严格署名与贡献认定

审查论文中所有引用与数据来源

保留原始数据和分析日志

八、AI辅助科研的伦理规范 | Ethical Use of AI in Research

中文

AI可用于数据分析、文献检索、论文润色

必须明确说明AI使用方法与范围

不得使用AI生成虚假数据或实验结果

AI生成内容需人工验证、符合学术规范

English

AI can assist in data analysis, literature search, and manuscript polishing

Clearly disclose AI usage methods and scope

Do not use AI to generate false data or experimental results

AI-generated content must be verified by humans and comply with academic standards

九、审稿心理与诚信策略 | Reviewer Psychology and Integrity Strategy

中文

审稿人高度关注数据真实性、方法透明性和伦理合规性

违规行为易导致拒稿、撤稿或学术声誉损失

透明伦理声明和数据可复现性增强审稿人信任

遵循诚信策略可提升论文接受率和学术影响力

English

Reviewers are highly attentive to data authenticity, methodological transparency, and ethical compliance

Misconduct can lead to rejection, retraction, or damage to academic reputation

Transparent ethical statements and reproducible data increase reviewer trust

Adhering to integrity strategies enhances acceptance rates and scholarly impact

检查表 | Checklist

数据记录真实、完整，可复现 Data records are authentic, complete, and reproducible.

方法、分析和实验流程透明 Methods, analyses, and experimental procedures are transparent.

署名符合贡献大小，避免赠名或遗漏 Authorship reflects the level of contribution, avoiding gift authorship or omission.

引用准确，遵守自我引证比例 Citations are accurate and comply with appropriate self-citation limits.

利益冲突披露完整 Conflicts of interest are fully disclosed.

人体、动物及敏感数据研究通过伦理审批 Research involving humans, animals, or sensitive data has received ethical approval.

AI使用方法明确并经过人工核查 The use of AI is clearly described and has undergone human verification.

十一、结论 | Conclusion

中文 学术论文伦理规范与研究诚信是保障科学性和学术声誉的基石。通过数据真实性、署名规范、引用诚信、伦理审批、科研不端防范及AI辅助规范使用，作者可以确保论文科学可靠、符合学术道德，并增强审稿人信任和期刊接受率。

English Ethical standards and research integrity are foundational to scientific validity and scholarly reputation. By adhering to data authenticity, authorship ethics, citation integrity, ethical review, misconduct prevention, and responsible AI use, authors can ensure that their manuscripts are scientifically sound, ethically compliant, and more likely to gain reviewer trust and journal acceptance.

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